## Issues in searching molecular sequence databases

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Sequence similarity search programs are versatile tools for the molecular biologist, frequently able to identify possible DNA coding regions and to provide clues to gene and protein structure and function. While much attention had been paid to the precise algorithms these programs employ and to their relative speeds, there is a constellation of associated issues that are equally important to realize the full potential of these methods. Here, we consider a number of these issues, including the choice of scoring systems, the statistical significance of alignments, the masking of uninformative or potentially confounding sequence regions, the nature and extent of sequence redundancy in the databases and network access to similarity search services.

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The advent of rapid DNA sequencing technology in the mid-1970s led to an information explosion that continues unabated today. Molecular sequence data have become the common currency of biomedical research and often provide unexpected links among diverse biological systems. These connections accelerate research progress and may even open up entirely new fields of inquiry. One approach to discovering such connections, database "homology" searching, has been executed countless times, often with surprising results and has become an essential method for the molecular biologist. While the particular algorithm used is of course important, the effectiveness of database searches is dependent as well on a large number of correlative factors, many of which tend to be overlooked or dealt with an an inefficient or ad hoc manner. These include the following:

Scoring systems. Most database search algorithms rank alignments by a score, whose calculation is dependent upon a particular scoring system. Usually there is a default system, but it may not be ideal for a user's particular problem. For example, haemoglobin subunits used to be regarded as "typical" proteins and are often still used as benchmark query sequences for evaluating new database search techniques and scoring systems. However today it is more common to encounter much larger and more complex sequences (see below) and methods developed and optimized for small, uniformly-conserved, singledomain proteins are inadequate. Scores that are best for detecting similarities between greatly diverged sequences differ from those best for detecting short but nearly identical segments<sup>12</sup>. Optimal strategies for detecting similarities between DNA protein-coding regions differ from those for non-coding regions<sup>3,4</sup>. Special scoring

systems for detecting frame-shift errors in the databases have recently been described<sup>5</sup>. A database search program should therefore make a variety of scoring systems available and users should be aware of which ones are best suited to their problems.

Alignment statistics. Given a query sequence, most database search programs will produce an ordered list of imperfectly matching database similarities, but none of them need have any biological significance. An important question is how strong a similarity is necessary to be considered surprising. United by a common theory, a number of analytice, and empirical results<sup>2,10-13</sup> are now available for assessing database search results. However, one still sees occasional extravagant claims in the literature, usually springing either from misapplication of the normal distribution or from an absence of critical statistical analysis.

Databases. The use of an up-to-date sequence database is clearly a vital element of any similarity search. Sequence relationships critical to important discoveries have on occasion been missed because old or incomplete databases were employed. However, the variety of databases available, and their overlapping coverage, has the potential to render similarity searching cumbersome and inefficient. This no longer need be the case. Timely access to complete and "nonredundant" sequence databases has become relatively simple and inexpensive.

Database redundancy and sequence repetitiveness. Surprisingly strong biases exist in protein and nucleic acid sequences and sequence databases. Many of these reflect fundamental mosaic sequence properties that are of considerable biological interest in themselves, such as segments of low compositional complexity or short-period

One approach sometimes taken is to record an optimal local alignment score for each database sequence and then to report these scores as standard deviations from the mean. There are several serious and frequently unrecognized pitfalls to this procedure. Fire, the optimal scores for the comparison of a query sequence to different

database sequences can not be assumed to be drawn from the same distribution. The longer a given database sequence, the greater the score expected by chance. Also, variation in residue composition among sequences can yield different score distributions. Second, unless a rigorous optimization algorithm is employed, the true

## Box 1 The extreme value distribution and local sequence similarities

Just as the sum of many independent random variables results naturally in a normal distribution, the maximum of many independent random variables yields an extreme value distribution?\*. (For rigour, this statement must be qualified in many ways, but we will omit the technicalities here.) Because the score of an optimal local alignment is, for practical purposes, the maximum of many essentially independent alignment scores, the extreme value distribution plays a central role in the statistics of local sequence alignments. This distribution may be described by two parameters, the characteristic value, u, and the decay constant, λ; the probability of observing a score greater than or equal to x purely by chance is given by the formula

The probability density of the standard extreme value distribution, with u=0 and  $\lambda=1$ , is shown in Fig. 1. For random sequences, the maximal segment pair scores used by the BLAST algorithms 1.14.31 can be shown to obey an extreme value distribution<sup>1,6-4</sup>. While analysis is not available for the scores of alignments with gaps, experiment<sup>10-12</sup> and analogy<sup>5-4-45,76-41</sup> strongly suggest that they too should obey this type of distribution.

In order to use the formula above, one needs to estimate the relevant parameters u and  $\lambda$  for a given sequence comparison. These will, in general, depend upon the composition and length of the sequences being compared, and upon the particular scoring system used. For alignments with gaps, the parameters may be estimated by random simulation13, or by examining optimal local alignment scores from unrelated sequences10,12. For ungapped alignments, the parameters may be calculated directly  $\bullet$ . In this case, the parameter u may be written as

$$u = \frac{\ln Kmn}{2}$$

where m and n are the sequences' lengths and K and  $\lambda$  may be calculated from the substitution scores and sequence compositions<sup>6-4</sup>.

We have described how to calculate the probability, p, that a given local-alignment score would arise from the comparison of two random sequences. This probability must be adjusted for the multiple comparisons performed in a database search (see text). The applicable Poisson distribution implies that the probability of observing at least one alignment with pairwise p-value p from a search of a database containing D sequences may be estimated as

When P<0.1, it may be well approximated as simply Dp. This approach makes the implicit assumption that all sequences in the database are a priori equally likely to share some relationship with the query. An alternative view, based on the idea that many proteins possess multiple domains, is that all equal-length protein segments in the database are a priori equally likely to be related to the query. This approach implies a different normalization. Assume that the alignment of interest involves a database sequence of length n residues, and that the complete database has N residues. Then, in the equation above, D should be replaced by N/n. This is the default normalization currently employed by the BLAST programs. (In the context of DNA as opposed to protein database searches, it is the only normalization that really makes sense.) Reasons for calculating significance in the context of pairwise protein comparisons in the first place, rather than sequence-database comparisons, are to allow for multiple high-scoring alignments and for protein compositional heterogeneity.

The BLAST programs (14 (Table 1) may generate several high-scoring alignments for a given pair of sequences. While the significance of these alignments may be assessed individually, it is frequently of value to construct a combined assessment. One method uses the fact that the number of segment pairs expected by chance to have score at least x is approximately Poisson distributed, with parameter  $e^{-\lambda x-y}$  (refs 6-8). Thus, if three distinct segment pairs with scores 50, 45 and 40 are found in a given pairwise comparison, one may calculate the probability p that at least three pairs, all with score at least 40, would appear by chance. This approach has the weakness of depending upon only the lowest among the r greatest scores. Alternatively, one may calculate the sum S, of the r highest scores. The random distribution of such sums has been derived and the appropriate tail probability is available numerically as a double integral.

a double integral.

The BLAST programs currently use the former, Poisson method, of assessing multiple high-scoring segment pairs. Not all sets of segment pairs, however, warrant a joint assessment. Only when such a set may be combined into a consistent, gapped alignment is it really appropriate to consider the separate segment pairs as parts of a greater whole. Accordingly, as a default, the BLAST programs require such consistency before calculating a joint statistical assessment. The imposition of such consistency has the further advantage of sharpening the joint statistics.

The problem of multiple tests arises again in using either the Poisson or sum p-values described above. For example, while the probability for finding at least three segment pairs with score at least 40 may be valid, in practice one has considered as well the single best segment pair in isolation, the two best segment pairs, etc. These multiple tests can result in too optimistic a significance claim for the best overall result. P. Green (personal communication) has suggested a simple solution to this difficulty; dividing the p-value for a result involving r segment pairs by the factor (1-a)a-1, where a is a constant between 0 and 1, yields a conservative p-value for the multiple tests. The parameter a can be viewed as a "gap penalty." Choosing a near 0 greatly favours results involving a single segment in pair. Choosing a near 1 favours results with fewer segment pairs only slightly, but may underestimate significance because of the actual non-independence of the multiple tests. The p-values reported by the BLAST programs implement this multiple test discount procedure, with a default of a=0.5.

ten standard deviations from the mean yet fail to be statistically significant.

Box 1 discusses the extreme value distribution and how it may be used to calculate the probability that a gap-free local alignment with a given score would arise from the comparison of two random sequences. It also describes how to modify this probability to account for the "multiple tests" of a database search. Such a search can itself generate data which provide an alternative to the analytic method (Box 1) for estimating alignment statistical significance<sup>12</sup>. For a given query, one records the best alignment score to each database sequence. If score S is observed f(S) times, then plotting log f(S) versus S tends to produce a straight line; extrapolation of this line can yield estimates of statistical significance<sup>12</sup>.

One advantage of this approach is that it is applicable to cases for which no rigorous theory is available, such as scores from gapped alignments. Thus heuristic programs such as Fasta<sup>28</sup>, or parallel implementations of the Smith-Waterman algorithm16 such as Blaze25 or Blitz26, can estimate statistical significance using this method. Furthermore, because the scores generated derive from comparisons of real sequences, no "random protein" model is needed. A disadvantage of the method is the need to generate optimal alignment scores for a substantial fraction of database sequences in order to calculate statistical significance. Potential inaccuracy arises from variation in database sequence size and composition, which implies that each data point is really drawn from a separate distribution<sup>6,10,13</sup>. Also, if many sequences related to the query are present (see discussion on database redundancy below), it may be difficult to base the plotted line upon only unrelated sequences. An alternative "curve fitting" approach is to estimate the parameters of the implicit extreme value distribution for the scoring system at hand2.10.11.13. In one form or another, curve fitting will generally be necessary to calculate the statistical significance of scores derived from gapped alignments or other complex scoring systems2.10-13.

The most important "failure" of the local alignment statistics discussed here is on comparisons of regions with restricted or unusual amino acid or nucleotide composition. Such regions are quite common in proteins, but are clearly not well described by the same random

model used for other sequence regions (see below). Because an alignment of such "low complexity" regions has little real meaning, it is best simply to note their existence, but exclude them from alignments produced in database searches (see Figs 2 and 3 for examples).

## Scoring matrices and gap costs

Many different amino acid substitution score matrices have been proposed over the years for use with sequence comparison and database search programs  $^{1,3,4-4}$ , and a variety of rationales have been used for their construction. However, it is possible to show that in the context of seeking high-scoring segment pairs without gaps, any such matrix has an implicit amino acid pair frequency distribution that characterizes the alignments it is optimized for finding. More precisely, let  $p_i$  be the frequency with which amino acid i occurs in proteins sequences and, within the class of alignments sought, let  $q_i$  be the frequency with which amino acids i and j are aligned. Then the scores that best distinguish these alignments from chance are given by the formula

 $S_{ij} = \log \frac{q_{ij}}{p_i p_i}$ 

The base of the logarithm is arbitrary, affecting only the scale of the scores. Any set of scores useful for local alignment can be written in this form, so a choice of substitution matrix can be viewed as an implicit choice of "target frequencies"  $q_{ij}$  (refs 1,6).

The target frequencies characterizing alignments of closely related sequences clearly differ from those for alignments of sequences that are greatly diverged. Therefore a single matrix can not be optimal for recognizing relationships at all evolutionary distances<sup>1,2,12</sup>. It has been argued that for most practical purposes, three separate matrices should be adequate for locating all alignments containing sufficient information to rise above background noise<sup>1,2</sup>. The question remains how best to estimate the appropriate corresponding target frequencies.

Estimating the frequencies with which the various amino acids tend to mutate into one another is a necessarily empirical problem. The first approach to the question was taken by Dayhoff and coworkers<sup>35,36</sup>. Their "PAM" model of molecular evolution allowed target frequencies and the corresponding score matrices to be

«Fig. 2 Significant sequence matches of the human MTG8 product: the effect of low-complexity masking. MTG8 (ref. 84) is the translated product of a chromosome 8 gene involved in a t(8:21) translocation that results in an AML1-MTG8 fusion transcript in a case of acute myeloid leukaemia (GenBank accession number D14820). a, Automated segmentation of low-complexity sequences in MTG8 at relatively high stringency. To be defined as low-complexity in this run of the SEG algorithm (Box 2), a sequence region must contain at least one 12-residue window with complexity (K, Box 2) less than 0.315. SEG then finds the minimally probable (lowest P<sub>e</sub>, Box 2) low-complexity subsequence, of any length, within the overlapping windows of this region. The sequence segments read from left to right and their order in the polypeptide runs from top to bottom, as shown by the central column of residue numbers. b, The strong match, which emerges clearly without masking (Poisson p-value 2.5 × 10<sup>-9</sup>), between sections of MTG8 and Drosophila melanogaster transcription factor TFIID 110-kDa subunit\*<sup>5-44</sup>. c, MTG8 filtered as in (a) but with the low-complexity segments masked by "x" characters, for use as a query sequence in database searches. d, The significant match between a region of MTG8 containing a cysteine cluster and rat apoptosis protein RP-8. RP-8 (ref. 87) is a gene expressed early in the process of programmed cell death (apoptosis) following glucocorticoid induction in rat thymocytes (GenBank accession number M80601). This match\*, had a Poisson p-value of 0.0036 for a BLASTP search of the NCBI non-redundant database of 13th September 1993. \*, Identical amino acids: I, Conserved Cys or His residues. Also shown is a sample of the class of zinc-fingers that occur in the DNA binding domain of the steroid receptor family\*, indicating a suggestive similarity (which is not statistically significant by pairwise alignment statistics and would require experimental confirmation) in the positions of most of the Cys or His residues.

Before low-complexity filtering, MTG8 generated an output list from the NCBI non-redundant database of greater than 400 Kbytes containing 599 database sequences scoring above the BLASTP default threshold. The significant match to apoptosis protein was an inconspicuous 62nd in this list and scored much lower than many spurious low-complexity matches. After masking of MTG8 as in (b), this match was 6th in a list of 83 sequences. The latter list contained many matches to a "medium complexity" region of MTG8 which is tentatively predicted to be alpha helical coiled coil (residues 416–476). Further filtering with SEG at lower stringency (K < 0.365 for a 14-residue window) effectively masked this region, and resulted in a BLASTP output list of only 9 sequences, in which the apoptosis protein was ranked in score only below the MTG8 self-matches and the match to TFIID 110-kDa subunit.

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existence of clusters of closely-related sequences from multigene families. Also, equivalent gene products have frequently been sequenced in a number of different species or organisms. In release 36.0 of PIR Internationals, for example, there were 653 members of the globin superfamily, 349 cytochromes c, 583 sequences with immunoglobulin domains and 274 protein kinases. Considering only perfectly matching sequences, among the 52,257 protein sequences in this database, there are over 3,900 duplicate entries and over 3,800 perfect substrings of longer entries that together comprise about 10% of the total amino acid residues. Among nucleic acid sequences there are thousands of Aluvariants in GenBank. And the problem of redundancy is only getting worse: as a result of projects designed to sample expressed genes rapidly<sup>57-59</sup>, tens of thousands of sequence fragments are being added to the databases"; many of these sequences represent small pieces of known genes. Due to the errorprone nature of these sequence fragments, identifying redundancy in these collections is a more difficult task.

As well as decreasing the speed of database searches, redundancy can obscure novel matches in the output, by yielding slews of similar or identical alignments. Practically, there are two simple ways to avoid this problem: i) construct a smaller "nonredundant" database<sup>61</sup>; ii) preprocess the query sequence for the presence of known domains and mask these prior to searching. (The concept of query masking is discussed in the next section.)

NCBIº2 maintains two quasi-nonredundant sequence collections (NRDB), one for proteins and one for nucleic acids. For example, the protein NRDB is constructed iteratively starting with SWISS-PROT<sup>63</sup>, which is the smallest and least redundant of the major protein databases. All of the proteins in PIR Internationals are compared to those in SWISS-PROT, and identical sequences are excluded from the former while maintaining pointers to relevant annotation. Next, all of the protein translations from GenBank coding sequences ("GenPept") are compared to the merged SWISS-PROT plus PIR. Likewise, protein sequences from the Brookhaven structure database (PDB) and other sources are incorporated into NRDB. (The OWL nonredundant sequence database61 is constructed from the same sources.) This simple procedure reduces the size of the combined databases by 50%, yet ensures that all sequences are represented. More sophisticated methods for creating

derived, composite views of protein and DNA sequence data promise even further reductions<sup>54</sup>.

Another key issue is access to the databases. Researchers may perform database similarity searches remotely by sending their queries, via electronic mail, to centralized "server" computers, where large and frequently updated databases are maintained, and where fast processors and sophisticated software are available. E-mail services of this sort have been available from various sources for several years. For example, NCBI provides the BLAST email server (for more information, send a "help" message to the Internet address blast@ncbi.nlm.nih.gov), and EMBL provides Blitz (nethelp@embl-heidelberg.de). Additional sites and services are given in ref. 64. In addition to database search and retrieval services, such sites maintain repositories of public domain software and specialized datasets that may be accessed via "anonymous fip" over the Internet<sup>65</sup>. The existence of high-performance networks is also giving rise to a new generation of "client-server applications" that make possible direct, real-time user interactions with remote servers. NCBI's BLAST network service and Entrez retrieval system are two examples. For users of the many excellent commercial software packages for sequence analysis, we would anticipate the development of network client-server capabilities in the near future.

## Masking of low-complexity sequences

Interspersed local regions of very simple amino acid composition are surprisingly abundant in protein sequences. Some of these regions are homopolymers or short-period repeats, but most are not periodic and appear as mosaics of predominantly one or a few types of residue. Their compositional bias is in marked contrast to the structural domains and motifs of globular proteins familiar from crystal and NMR structures. Based on a relatively stringent definition of low-complexity, more than half of the sequences in the database contain at least one such region, and 14% of the amino acids occur in clusters of highly biased local composition. Moreover, a large excess of "medium-complexity" regions may be defined using a less stringent definition of complexity: these are found in many recently-deduced protein sequences that lack true homologues and do not belong to the class of "ancient conserved sequences"68. Very little is known about the molecular structures, dynamics, interactions and evolution of most low- and medium-complexity protein segments.

4Fig. 3 The mouse protein Sos1 functions as a key intermediate in transmitting signals from receptor tyrosine kinases to ras via protein-protein interactions\*\*.\*\* Sos1 (PIR accession S21391) is a member of a family of ras guanine nucleotide-releasing proteins (GNRP) that also includes S. cerevisiae CDC25 and SDC25, S. made Steb, and the Drosophila gene, Son of sevenless. Mouse Sos1 is a large, mosaic protein with several different domains, including a rasGNRP domain and "v complexity region that binds to an "adapter" protein called Grb2". a, Results of a BLASTP search using an Sos1 query sequence without any masking wied. In addition to several "self hits" in the output, we see significant matches to some S. cerevisiae proteins, but Ste6 does not appear in the top 25 matches despite its presence in the database (PIR International, release 37). Moreover, the true positive matches are interspersed with many false positives, consisting of a number of functionally unrelated proline-rich proteins. These artifactual matches are highly significant in the statistical sense, but a glance at some of the local alignments shows that one is not justified in inferring similar function despite the high scores and low p-values. b, An identical search, except that in this case the Sost query has been pre-processed using SEG masking with default parameters. Note that the top of the "hit list" is now populated only by bona fide members of the rasGNRP family and that all artifactual matches against proline-rich proteins have disappeared. Furthermore, a match to S. pombe Ste6 is now obvious; a local alignment between this protein and Sos1 is shown. Interestingly, Sos1 shows significant local similarities to histone H2A and β-spectrin (see below), c, Results of another search with masking of both low complexity regions (b) and the rasGNRP domain. The top four matches now consist only of those proteins that share more extensive, or global, similarity with the query beyond the rasGNRP domain. In this example, the additional information gained by this extra masking step is not striking. But one can imagine the dramatic effect this would have in shrinking the "hit list" if the query possessed a kinase domain, of which there are hundreds of examples in the database. (See ref. 74 for an example involving immunoglobulin domains). d, The query sequence, mouse Sos1, annotated with the various "ains indentifiable by BLASTP searching. The rasGNRP domain is according to Boguski & McCormick". The proline-rich carboxy terminal region is known to " Fract with Src homology (SH3) domains in Grb212. With regard to the local similarities between Sos1 and histone H2A and β-spectrin, it has recently been shown It Sos1, β-spectrin and a number of other proteins possess "pleckstrin homology" or PH domains<sup>12</sup>. The local alignment produced by BLASTP (c) corresponds these PH domains. The similarity between Sos1 and histone H2A has not previously been reported and is difficult to interpret biologically. Nonetheless, the similarity is as significant as that of the PH domain and may have structural, as opposed to functional, implications™.

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